SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Fanger Lewis A.						2. Issuer Name and Ticker or Trading Symbol <u>FULL HOUSE RESORTS INC</u> [FLL]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) (First) (Middle) C/O FULL HOUSE RESORTS, INC.					3. Date of Earliest Transaction (Month/Day/Year) 12/19/2022								X	Officer (g below) Sr. 1		tle Other (speci below) CFO and Treasurer		specify	
1980 FESTIVAL PLAZA DRIVE, SUITE 680					4. lf A	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street) LAS VEGAS NV 89135 (City) (State) (Zip)																,	·	ing Person)ne Reportin	ng Person
(City)	(State)		p) Ible I - Noi	- Doi	divertive				uirad	Dian	acad of		Donofi		unad				
1. Title of Security (Instr. 3) 2. Tran: Date					Transaction		2A. Deemed Execution Date, if any (Month/Day/Year)		3. 4.		4. Securit	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar			5. Amount Securities Beneficiall Following Transactio	y Owned Reported	Form	/nership : Direct (D) direct (I) . 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 an				(1130.4)
Common Stock 12/1					2/19/2022				Р		5,000	00 A		\$6.95	111,000			D	
Common Stock 12/1					2/19/2022				Р		5,000)	Α	\$7.05	116,000			D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date,) if any (Month/Day/Year)		4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerci Expiration Da (Month/Day/Y		te	or Nu		derlying curity	berivative ty Security (Instr. 5)		er of e s ully g ion(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

Explanation of Responses:

Remarks:

/s/ Lewis A. Fanger

** Signature of Reporting Person

12/20/2022

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

